



---

2020 Decisions

Opinions of the United  
States Court of Appeals  
for the Third Circuit

---

9-1-2020

## In Re: Plavix Marketing

Follow this and additional works at: [https://digitalcommons.law.villanova.edu/thirdcircuit\\_2020](https://digitalcommons.law.villanova.edu/thirdcircuit_2020)

---

### Recommended Citation

"In Re: Plavix Marketing" (2020). *2020 Decisions*. 836.  
[https://digitalcommons.law.villanova.edu/thirdcircuit\\_2020/836](https://digitalcommons.law.villanova.edu/thirdcircuit_2020/836)

This September is brought to you for free and open access by the Opinions of the United States Court of Appeals for the Third Circuit at Villanova University Charles Widger School of Law Digital Repository. It has been accepted for inclusion in 2020 Decisions by an authorized administrator of Villanova University Charles Widger School of Law Digital Repository.

**PRECEDENTIAL**

UNITED STATES COURT OF APPEALS  
FOR THE THIRD CIRCUIT

---

No. 18-2472

---

IN RE: PLAVIX MARKETING, SALES PRACTICES  
AND PRODUCTS LIABILITY LITIGATION (NO. II)

UNITED STATES OF AMERICA;  
STATE OF CALIFORNIA; STATE OF COLORADO;  
STATE OF CONNECTICUT; STATE OF DELAWARE;  
DISTRICT OF COLUMBIA; STATE OF FLORIDA;  
STATE OF GEORGIA; STATE OF ILLINOIS;  
STATE OF INDIANA; STATE OF IOWA;  
STATE OF LOUISIANA;  
COMMONWEALTH OF MASSACHUSETTS;  
STATE OF MICHIGAN; STATE OF MINNESOTA;  
STATE OF MONTANA; STATE OF NEVADA;  
STATE OF NEW JERSEY; STATE OF NEW YORK;  
STATE OF NORTH CAROLINA;  
STATE OF OKLAHOMA; STATE OF RHODE ISLAND;  
STATE OF TENNESSEE;  
COMMONWEALTH OF VIRGINIA;  
STATE OF WASHINGTON; STATE OF WISCONSIN,  
ex rel. JKJ PARTNERSHIP 2011 LP

v.

SANOFI-AVENTIS U.S. LLC;  
SANOFI-AVENTIS U.S. SERVICES, INC.;

AVENTIS, INC.; AVENTIS PHARMACEUTICALS, INC.;  
BRISTOL-MYERS SQUIBB COMPANY;  
BRISTOL-MYERS SQUIBB SANOFI  
PHARMACEUTICALS HOLDING PARTNERSHIP

JKJ PARTNERSHIP 2011 LLP,  
Appellant

---

On Appeal from the United States District Court  
for the District of New Jersey  
(D.C. Nos. 3-11-cv-06476 & 3-13-cv-02418)  
Chief District Judge: Honorable Freda L. Wolfson

---

Argued: June 25, 2020

Before: JORDAN, BIBAS, and NYGAARD, *Circuit Judges*

(Filed: September 1, 2020)

---

William H. Narwold  
Mathew P. Jasinski  
Motley Rice  
20 Church Street  
One Corporate Center, 17th Floor  
Hartford, CT 06103

[ARGUED]

W. Scott Simmer  
Baron & Budd  
600 New Hampshire Avenue, Suite 10-A  
Washington, DC 20037  
*Counsel for Appellant*

Anand Agneshwar [ARGUED]  
Arnold & Porter Kaye Scholer  
250 West 55th Street  
New York, NY 10019

Murad Hussain  
Kirk Ogrosky  
Arnold & Porter Kaye Scholer  
601 Massachusetts Avenue, N.W.  
Washington, DC 20001

Gavin J. Rooney  
Lowenstein Sandler  
One Lowenstein Drive  
Roseland, NJ 07068  
*Counsel for Appellees*

---

OPINION OF THE COURT

---

BIBAS, *Circuit Judge*.

To intervene is to butt in, not to be dragged in or to replace an existing party to a lawsuit. But no one butted in here. Three people formed a partnership to sue several pharmaceutical companies as a qui tam relator under the False Claims Act. When one of them left the partnership and was replaced, that change amounted to forming a new partnership. The companies moved to dismiss because the Act’s first-to-file bar stops a new “person” from “interven[ing] or bring[ing] a related action based on the [same] facts.” 31 U.S.C. § 3730(b)(5). But the verb “intervene” means to inject oneself between two existing

parties, as under Federal Rule of Civil Procedure 24. The new partnership did not do that, but instead came in as the relator.

Still, the District Court ruled for the companies, based mainly on a dictum from a Supreme Court case. But that case involved a very different issue, and the Court's opinion never considered the issue here. Because the Act's plain text bars only intervention or bringing a related suit, we will vacate and remand.

## **I. BACKGROUND**

### **A. The partnership and the complaints**

In 2011, Jeffrey Stahl, Kelly Evans, and John Venditto formed a Delaware limited liability partnership named JKJ (after their three first initials). Venditto and Stahl were doctors, and Evans was a former sales representative at Sanofi-Aventis. The partnership's sole purpose was to prosecute a qui tam False Claims Act suit against Sanofi-Aventis and Bristol-Myers Squibb, two pharmaceutical companies that developed and marketed the anti-clotting drug Plavix. So JKJ filed this suit in federal district court.

The gist of the suit was that Sanofi and Bristol had promoted Plavix to treat a broad range of patients, even though they knew that many of them would reap little if any benefit. Sanofi and Bristol's marketing, JKJ alleged, caused many false claims to be submitted for federal and state healthcare reimbursement. JKJ alleged False Claims Act claims on behalf of the United States, as well as claims on behalf of dozens of states under their own qui tam statutes.

The complaints named JKJ as the sole plaintiff-relator. While the early complaints discussed the three partners' backgrounds, they did not name them, using pseudonyms instead. The United States declined to intervene in the suit.

By 2016, the partners' relations had apparently soured. Venditto left and was replaced by Dr. Paul Gurbel. The second amended complaint, the one at issue, was filed in 2017 and names all three partners. But it still names JKJ as the sole relator. The partners viewed the old JKJ partnership as the same entity as the new one. That theory would be tested in this suit's pinball journey among three different state and federal courts.

### **B. District Court proceedings**

Sanofi and Bristol moved to dismiss, invoking the False Claims Act's first-to-file bar. 31 U.S.C. §3730(b)(5); *see United States ex rel. JKJ P'ship 2011, LLP v. Sanofi Aventis, U.S., LLC (In re Plavix Mktg., Sales Practices & Prods. Liab. Litig. (No. II))*, 315 F. Supp. 3d 817, 821–22 (D.N.J. 2018) (*In re Plavix I*). Sanofi and Bristol's theory was that New JKJ was a different legal entity from Old JKJ, so its effort to pursue the suit as the relator amounted to an "interven[tion]" banned by the first-to-file bar. *See In re Plavix I*, 315 F. Supp. 3d at 830.

The District Court agreed and dismissed the suit. In a careful and comprehensive opinion, it thoroughly analyzed Delaware partnership law, concluding that Old JKJ and New JKJ were distinct legal entities. *In re Plavix I*, 315 F. Supp. 3d at 830–34. Because the two entities were different, the District Court held that New JKJ's presence violated the first-to-file

bar. *Id.* at 834–35. It relied heavily on a Supreme Court decision, *United States ex rel. Eisenstein v. City of New York*, 556 U.S. 928, 933–35 (2009), which it read as holding that whenever a nonparty tries to join a qui tam False Claims Act suit, it is “interven[ing]” within the meaning of the first-to-file bar. *See Plavix I*, 315 F. Supp. 3d at 834–35. It also relied on a recent Tenth Circuit decision that read *Eisenstein* the same way. *Id.* at 835–36 (discussing *United States ex rel. Little v. Triumph Gear Sys., Inc.*, 870 F.3d 1242 (10th Cir. 2017)). Because the District Court read *Eisenstein*’s rule as barring a new relator from replacing an old one in an amended complaint, it dismissed JKJ’s suit. *Id.*

### C. Delaware Supreme Court proceedings

The partnership appealed to us. Recognizing the important role that Delaware partnership law plays here, we certified three questions to the Delaware Supreme Court. The Delaware Supreme Court accepted the certification and assisted us by answering them. First, it agreed with the District Court that Old JKJ and New JKJ were distinct partnerships. *United States ex rel. JKJP’ship 2011 LLP v. Sanofi-Aventis U.S. LLC*, 226 A.3d 1117, 1123 (Del. 2020) (*In re Plavix II*). Second, it could not answer whether Old JKJ survived long enough to file the complaint now before us. *Id.* at 1133. But it noted that the second amended complaint named the three partners as Stahl, Evans, and Gurbel, so that complaint must have been filed by New JKJ. *Id.* Third, it explained that Old JKJ could not keep prosecuting the litigation as part of the winding-up process. *Id.* at 1135–36. It did not see how liquidation could be “[ ]consistent with continuing with carrying on the business for which the

Partnership was established.” *Id.* at 1135. With these state-law issues addressed, the case is before us again.

## II. THE FIRST-TO-FILE BAR IS NOT JURISDICTIONAL

First off, the parties dispute whether the first-to-file bar is jurisdictional. Sanofi and Bristol say that it is and thus that their motion to dismiss falls under Federal Rule of Civil Procedure 12(b)(1). But JKJ suggests that a qui tam complaint that violates § 3730(b)(5) merely fails to state a claim and thus that the motion falls under Rule 12(b)(6).

The distinction sometimes matters. First, the plaintiff bears the burden of persuasion on jurisdiction, while the burden of showing that a complaint fails to state a claim falls on the defendant. *Davis v. Wells Fargo*, 824 F.3d 333, 349 (3d Cir. 2016). Second, a defendant challenging subject-matter jurisdiction may sometimes submit evidence, while on Rule 12(b)(6) we must take the complaint’s well-pleaded allegations as true. *Id.* Finally, jurisdiction may be challenged at any time, even sua sponte by the court. *See* Fed. R. Civ. P. 12(h)(3). But the failure-to-state-a-claim defense is waived if not raised “before the close of trial.” 5C Charles Alan Wright & Arthur R. Miller, *Federal Practice & Procedure* § 1392, at 530 (3d ed. 2004).

Our sister circuits are split on this question. The lower-numbered circuits treat the first-to-file bar as not jurisdictional. *See United States ex rel. Heath v. AT&T, Inc.*, 791 F.3d 112, 120–21 (D.C. Cir. 2015); *United States ex rel. McGuire v. Millennium Labs., Inc.*, 923 F.3d 240, 250–51 (1st Cir. 2019); *United States ex rel. Hayes v. Allstate Ins. Co.*, 853 F.3d 80,



85–86 (2d Cir. 2017) (per curiam). The higher-numbered circuits take the opposite view, mainly in older opinions. See *United State ex rel. Carter v. Halliburton Co.*, 710 F.3d 171, 181 (4th Cir. 2013); *United States ex rel. Branch Consultants v. Allstate Ins. Co.*, 560 F.3d 371, 376–77 (5th Cir. 2009); *Walburn v. Lockheed Martin Corp.*, 431 F.3d 966, 970 (6th Cir. 2005); *United States ex rel. Lujan v. Hughes Aircraft Co.*, 243 F.3d 1181, 1187–89 (9th Cir. 2001); *Grynberg v. Koch Gateway Pipeline Co.*, 390 F.3d 1276, 1278 (10th Cir. 2004). As the Third Circuit, our number falls in the middle.

We hold that the first-to-file bar is not jurisdictional. As the Supreme Court has recently instructed, unless Congress states clearly that a rule is jurisdictional, we will treat it as nonjurisdictional. *Sebelius v. Auburn Reg’l Med. Ctr.*, 568 U.S. 145, 153 (2013); see, e.g., *Fort Bend County v. Davis*, 139 S. Ct. 1843, 1850 (2019). The contrary circuit cases mostly predate these Supreme Court cases and do not apply the Court’s clear-statement rule. Sanofi and Bristol point to no language in §3730(b)(5), nor do we see any, that “plainly show[s] that Congress imbued [the first-to-file] bar with jurisdictional consequences.” *United States v. Kwai Fun Wong*, 575 U.S. 402, 410 (2015). The bar could have referred to jurisdiction or to a district court’s power to review claims directly. *Heath*, 791 F.3d at 120. It did not. On the contrary, the bar on intervention kicks in only after a party has filed a suit over which the court *already* has jurisdiction.

If Congress had meant to make the first-to-file bar jurisdictional, it would have logically placed the bar in one of two other sections that mention jurisdiction and were added at the

same time as it. 31 U.S.C. §§3730(e)(1), (2)(A), 3732 (caption); False Claims Amendments Act of 1986, Pub. L. No. 99-562, §§3, 6(a), 100 Stat. 3153, 3155, 3157–58. Instead, Congress put it in §3730(b), alongside other run-of-the-mill procedural rules. *See, e.g.*, 31 U.S.C. §3730(b)(2) (service and filing).

Lacking a clear statement, Sanofi and Bristol rely on principles of Article III standing. They note that the Article III injury is suffered not by the relator, but by the Government. *See Vt. Agency of Nat. Res. v. United States ex rel. Stevens*, 529 U.S. 765, 771, 773–74 (2000). So, they argue, the first-to-file bar shows which parties have standing to sue on behalf of the United States. But the first-to-file bar is a matter of statutory authorization, not constitutional standing. The first-to-file bar asks only “whether [the relator] falls within the class of plaintiffs whom Congress has authorized to sue,” which is another way to ask whether the statute gives it a cause of action. *Lexmark Int’l, Inc. v. Static Control Components, Inc.*, 572 U.S. 118, 128 (2014). It is not about “the court’s statutory or constitutional *power* to adjudicate the case.” *Id.* at 128 n.4 (quoting *Verizon Md. Inc. v. Pub. Serv. Comm’n*, 535 U.S. 635, 643 (2002)).

Because the first-to-file bar is not jurisdictional, Sanofi and Bristol’s motion to dismiss falls under Rule 12(b)(6) for failure to state a claim. On to the merits.

### III. THE FALSE CLAIMS ACT DOES NOT BAR NEW RELATORS FROM ENTERING A QUI TAM SUIT

Parties may sue under the False Claims Act in two ways. First, the Attorney General may sue anyone who violates the Act. 31 U.S.C. § 3730(a). Second, *any* person may bring a qui tam suit in the Government’s name. *Id.* § 3730(b)(1). When a private person (the relator) brings a qui tam suit, the Government may choose to intervene. *Id.* § 3730(b)(2). Whether the Government intervenes or not, it gets the bulk of the recovery. *See id.* § 3730(d). If it chooses to intervene, it takes over the lead as plaintiff; if not, the relator keeps prosecuting the suit. *Id.* § 3730(c)(1), (3).

The False Claims Act’s first-to-file bar provides:

When a person brings an action under this subsection, no person other than the Government may intervene or bring a related action based on the facts underlying the pending action.

31 U.S.C. § 3730(b)(5).

This text, we hold, has a straightforward meaning. In normal civil litigation, there are three ways for nonparties with interests relevant to a suit to become parties to a suit. They can intervene in the existing suit. They can file their own related suits based on the same facts. Or they can be added to the existing suit by the court or the existing parties. In False Claims Act qui tam suits, the first-to-file bar precludes the first two options, but not the third. So long as the new party is named in the (likely amended) complaint, there is no problem. This reading follows from the plain meaning of the term “intervene.”

And nothing in the Supreme Court’s opinion in *Eisenstein* is to the contrary.

#### **A. The first-to-file bar’s plain meaning**

We start with the word’s plain meaning. “Intervene” comes from the Latin *venire*, “to come,” plus the prefix *inter-*, “between.” *Intervene*, *Oxford English Dictionary* (2d ed. 1989). To “intervene” is “[t]o come between in action; to interfere, interpose.” *Id.* (def. 3a). An entity that intervenes does not become one of two sides; it “come[s] between” existing sides. *Intervene*, *Webster’s Third New International Dictionary* (1966). Often, intervention is not done at the behest or even with the acquiescence of the existing parties. *See, e.g., id.* (def. 1) (“to enter or appear as an irrelevant or extraneous feature or circumstance”); *id.* (def. 3) (“to come in or between by way of hindrance or modification”). In short, a third party intervenes when he injects himself between two existing sides, not when he is drawn in or becomes one side or another.

The legal sense of intervention reflects the word’s etymology and plain English meaning. “Intervention” is “[t]he entry into a lawsuit by a third party who, despite not being named a party to the action, has a personal stake in the outcome.” *Intervention*, *Black’s Law Dictionary* (11th ed. 2019) (def. 1). So in law, a party who “intervene[s]” invokes “[t]he legal procedure by which such a third party is allowed to become a party to the litigation.” *See id.* (def. 2). The choice to intervene is made not by the existing parties, but by the intervenor. An “intervenor” is “[o]ne who *voluntarily* enters a pending lawsuit because of a personal stake in it.” *Intervenor*, *in id.* (emphasis added). In

the federal courts, this process is governed by Federal Rule of Civil Procedure 24.

Intervention is distinct from joinder, a way that existing parties can *bring* a third party into a lawsuit. *See Joinder, Black's Law Dictionary, supra*. Required joinder ensures the presence of a necessary party. Fed. R. Civ. P. 19. Permissive joinder consolidates parties who might otherwise be involved in related but distinct actions. *Id.* R. 20. Either way, joinder is begun by the existing parties or the court. Intervention, by contrast, involves a *nonparty's* motion to enter a suit to protect its interests, whether or not the existing parties want its company. *Id.* R. 24(a)–(b).

And there are other ways for parties to enter a lawsuit. For instance, a defendant can implead a nonparty who might be liable to it for part of the claim. Fed. R. Civ. P. 14(a)(1). A party facing potentially duplicative liability can interplead all the parties to whom it might be liable. *Id.* R. 22(a). Or if a party dies, the court can substitute its successor or representative. *Id.* R. 25(a)(1).

What distinguishes intervention from these other methods of adding new parties is that it requires action by an outside party who seeks a seat at the table. *See* 7C Charles Alan Wright et al., *Federal Practice and Procedure* §1901, at 257–60 (3d ed. 2007). Given that distinction, Congress would not have chosen the specific verb “intervene” to express the general concept of entry into a suit, no matter who initiated it or how. *See Mississippi ex. rel. Hood v. AU Optronics Corp.*, 571 U.S. 161, 169–70 (2014) (presuming “Congress is aware of existing law when it passes legislation,” including “Federal Rule of Civil

Procedure 20, governing party joinder” (internal quotation marks omitted)).

By contrast, when Congress meant to refer to a broader class of parties entering suits, it covered “claims that involve the joinder *or* intervention of additional parties.” 28 U.S.C. § 1367(a) (emphasis added) (defining federal courts’ supplemental jurisdiction). Congress chose to draft that statute more broadly, but the first-to-file bar more narrowly. If Congress had wanted the first-to-file bar to reach more broadly, it would have said so. But it chose a “narrower” term (intervention), and we must “respect, not disregard,” that choice. *Wis. Cent. Ltd. v. United States*, 138 S. Ct. 2067, 2072 (2018).

To be sure, other provisions of the False Claims Act limit *who* can be a proper plaintiff. For instance, when the allegations underlying the suit have already been disclosed publicly, no private party can sue unless it is “an original source of the information.” 31 U.S.C. § 3730(e)(4)(A). But beyond barring intervention, the first-to-file bar itself says nothing about other ways in which third parties may enter an existing suit.

A final note: The False Claims Act does provide for a special kind of intervention by the Government. When the Government chooses to intervene, it does not remain a third party between two existing parties. *See* 31 U.S.C. § 3730(b)(1)–(2), (c)(3) (describing Government intervention). Rather, even though the relator is still a party to the case, the Government takes over the relator’s “primary” role in pursuing it. *Id.* § 3730(c)(1). But this special kind of intervention occurs only when the Government intervenes, not when a private party does. It works differently not because of the first-to-file bar,

but because of the special Government-control provision in § 3730(c)(1). And the text of the first-to-file bar limits its scope to intervention by private parties, not the Government. *Id.* § 3730(b)(5). Thus, we see no reason to give “intervene” in that bar a unique meaning specific to the False Claims Act.

**B. *Eisenstein* is silent on the question before us**

Resisting this reading of the statute, Sanofi and Bristol rely on the Supreme Court’s decision in *Eisenstein*. But *Eisenstein* addressed a very different question: the time limit for filing a notice of appeal in False Claims Act suits. *See* 556 U.S. at 929. Typically, parties to civil cases have 30 days, but when the United States is a party, the limit is 60 days. *See* Fed. R. App. P. 4(a)(1)(A)–(B). The Court held that even though False Claims Act suits are always on *behalf* of the United States, the Government is not a “party” to the litigation for purposes of appellate procedure when it has declined to intervene. *Id.* at 937.

In reaching its conclusion, the Court discussed the relationship between the terms “party” and “intervention.” *See Eisenstein*, 556 U.S. at 932–34. “[W]hen the term [to intervene] is used in reference to legal proceedings, it covers the right of one to interpose in, *or become a party to*, a proceeding already instituted.” *Id.* at 933 (emphasis and second alteration in original) (quoting *Rocca v. Thompson*, 223 U.S. 317, 330 (1912)). Because intervening is *how* the United States becomes a party to a qui tam suit, the Court held, it is not a party unless it intervenes. *Id.*

The first-to-file bar was not before the *Eisenstein* Court. Nor did *Eisenstein* turn on the meaning of “intervene.” So Sanofi and Bristol’s reliance on *Eisenstein* hinges on a single sentence from the discussion referred to above: “The Court has further indicated that intervention is the requisite method for a non-party to become a party to a lawsuit.” 556 U.S. at 933. According to Bristol and Sanofi, this sentence proves that intervention is not just *one* way, but the *only* way, for a party to enter a lawsuit. The Tenth Circuit has likewise taken that broad a view of *Eisenstein*’s dictum. *United States ex rel. Little v. Triumph Gear*, 870 F.3d at 1246–48. Put another way, any time a party enters (or becomes a party in) a lawsuit, it must have intervened.

We reject this overreading. We must read *Eisenstein*, like any opinion, in context. Judicial opinions are not statutes, from which we squeeze all we can out of every last word. Rather, we try to understand the Court’s language against the backdrop of the particular controversy that the Court was resolving. *See, e.g., Illinois v. Lidster*, 540 U.S. 419, 424 (2004); *Cohens v. Virginia*, 19 U.S. (6 Wheat.) 264, 399 (1821) (Marshall, C.J.). *Eisenstein* was about appellate timing and Government intervention, not the first-to-file bar for private parties. The parties neither briefed nor argued about how private parties intervene. The bar’s plain text, not a stray dictum about that text, resolves its meaning.

Thus, the first-to-file bar reaches intervention under Rule 24 or the bringing of a new action on the same facts, but not



other methods of joining an existing case like joinder, substitution of parties, or amendment of a complaint. So it does not bar New JKJ’s participation as a relator here.

#### **IV. WE DECLINE TO RESOLVE MOST OF THE RESIDUAL ISSUES**

Just because New JKJ *can* take part without violating the first-to-file bar does not mean that it *is* taking part as a matter of partnership law and ordinary civil procedure. Deciding whether New JKJ is properly a relator depends on record materials and recent developments that the District Court never had the chance to consider. Rather than hazard answers, we will instead send the case back to let the District Court answer those lingering questions first.

##### **A. Important considerations for remand**

To guide the District Court on remand, we flag several issues that bear on whether New JKJ is a proper relator.

*First*, Sanofi and Bristol argue that the second amended complaint’s allegations are so different from those that appeared earlier that they make the amendment improper. As noted, even wholesale changes in a complaint do not implicate the first-to-file bar, as long as the relator does not bring a new “action.” 31 U.S.C. §3730(b)(5). But we offer no view on whether, as a matter of generic civil procedure, this amendment might exceed the bounds of Rule 15.

*Second*, the Delaware Supreme Court found that both New and Old JKJ are aggregate partnerships. *In re Plavix II*, 226 A.3d at 1132–33. A key feature of aggregate partnerships is

that they cannot sue or be sued in their own names. *See id.* at 1123. So one could question whether either partnership was ever a proper relator. The District Court should decide whether that is true and, if so, whether to allow amendment so that the partners can name themselves as the real relators in interest. *See* Fed. R. Civ. P. 17(a)(3).

*Third*, the District Court will need to decide whether Old JKJ or New JKJ owns this lawsuit. While this appeal was pending, the partnership executed an agreement purporting to transfer this litigation asset. Perhaps the agreement was unnecessary: perhaps the change in membership and concomitant dissolution of Old JKJ and the formation of New JKJ automatically transferred the asset from the former to the latter. If not, the District Court should determine whether the suit *could* be transferred and whether Old JKJ had the power to transfer it as part of its winding-up process.

This list of issues for remand is not exhaustive. The court is free to take up other matters as it sees fit.

#### **B. Property stakes in qui tam suits are transferrable**

Though we leave many of these issues for remand, we will settle one last False Claims Act issue. Sanofi and Bristol argue that the Anti-Assignment Act bars a qui tam relator from ever assigning its interest in a suit. 31 U.S.C. § 3727. A qui tam relator is, of course, entitled to a share of the Government's recovery. So, they assert, transferring the relator's interest in the action would amount to a forbidden "transfer or assignment of any part of a claim against the United States Government or an interest in the claim." *Id.* § 3727(a)(1).

That argument fails. Whether a plaintiff sues for itself or for another, its claim is “against” the defendant, not “against” any real party in interest who might get a share of the recovery. The relator might eventually have a claim against the Government if the Government recovered a judgment and refused to give the relator its share. *See* 31 U.S.C. § 3730(d)(1). But the first suit does not assert a “claim *against* the United States Government.” *Id.* § 3727(a)(1) (emphasis added).

\* \* \* \* \*

The False Claims Act’s first-to-file bar stops new relators from intervening in other parties’ suits or bringing their own separate suits based on the same facts. Yet it does not bar parties from amending a complaint to add, remove, or swap relators. While some courts have overread the Supreme Court’s dictum in *Eisenstein* to suggest otherwise, our touchstone is the statutory text. The District Court’s otherwise thoughtful opinion missed this point. So we will vacate and remand for further proceedings.