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Fisher v. Comm Social Security

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UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT

No. 01-2806

JAMES E. FISHER,
Appellant

v.

LARRY G. MASSANARI, ACTING COMMISSIONER OF SOCIAL SECURITY

On Appeal from the United States District Court for the Western District of Pennsylvania (D.C. Civil No. 00-cv-00094)
District Judge: Hon. D. Brooks Smith, Chief Judge

Submitted Under Third Circuit LAR 34.1(a) February 4, 2002

Before: SLOVITER, AMBRO, Circuit Judges, and POLLAK, District Judge

(Filed: Februry 5, 2002)

MEMORANDUM OPINION OF THE COURT

SLOVITER, Circuit Judge.

I.

Claimant James Fisher appeals from the order of the District Court affirming the $\,$

denial by the Commissioner of Social Security of his application for Social Security

Income (SSI). Fisher alleged disability under SSI, primarily on the basis of low back

pain resulting from a herniated disc combined with other medical problems.

application was denied administratively and on reconsideration. The Administrative Law

Judge (ALJ) determined that Fisher had not met the standard of disability to recover

benefits. He sought review of the ALJ decision before the Appeals Council and

submitted additional medical evidence, but the Appeals Council denied review. Fisher

then filed suit in the District Court which, as stated above, affirmed the administrative

denial. Fisher filed a timely appeal.

II.

Because we write solely for the parties, we need not set forth a detailed recitation $\ensuremath{\mathsf{E}}$

of the background for this appeal and will limit our discussion to resolution of the issues

presented. Fisher's principal complaint is that the District Court, in determining whether

the ALJ's decision was supported by substantial evidence, did not consider the additional

medical evidence he had submitted to the Appeals Council. In its ruling, the District

Court followed the standard set forth in Matthews v. Apfel, 239 F.3d 589 (3d Cir. 2001),

for determining whether, on judicial review, an SSI claimant is entitled to consideration

of additional medical evidence presented for the first time before the Appeals Council.

Under that standard, when the Appeals Council has denied review, the district court may

affirm, modify, or reverse the Commissioner's decision, with or without remand, but

based only on the record before the ALJ. When the claimant seeks to rely on evidence

that was not before the ALJ, the district court may remand to the Commissioner, but only

if the evidence is new and material and if there was good cause why it was not previously

presented to the ALJ. Id. at 593.

Fisher vigorously disagrees with the Matthews opinion. However, our $\ensuremath{\mathsf{Internal}}$

Operating Procedures provide: "It is the tradition of this court that the holding of a panel

in a reported opinion is binding on subsequent panels. Thus, no subsequent panel

overrules the holding in a published opinion of a previous panel. Court en banc

consideration is required to do so." 3d Cir. Internal Operating P. 9.1. Fisher's argument

that some other courts differ is therefore not persuasive. We note that decisions of the

Sixth, Seventh, and Eleventh Circuits follow the same path as we did in Matthews. See

Falge v. Apfel, 150 F.3d 1320 (11th Cir. 1998), Cotton v. Sullivan, 2 F.3d 692 (6th Cir.

1993), Eads v. Sec'y of Dep't of Health & Human Servs. (7th Cir. 1993).

Fisher claims that because the ALJ and the Appeals Council "invited" him to

submit additional evidence and the Appeals Council took the additional evidence under

consideration in making its determination to deny review of the \mathtt{ALJ} decision, $\mathtt{Matthews}$

cannot apply to this case. Fisher misunderstands the Matthews decision and the $\,$

underlying statute. Section 405(g) of the Social Security Act states that a district court

may "order additional evidence to be taken before the Secretary, but only upon a showing

that there is new evidence which is material and that there is good cause for the failure to

incorporate such evidence into the record in a prior proceeding." 42 U.S.C. 405(g).

Matthews holds that when the Appeals Council has considered additional evidence that

was not before the ALJ and denied review of the ALJ decision, the District Court may

remand the case to the Commissioner to be considered with the additional evidence only

if the evidence is new and material and if there is good cause why it was not previously

presented to the ALJ. As Matthews points out, the "new and material evidence is

transmitted with the record so that the district court will have before it the evidence that

will be the subject of the remand if the claimant can show good cause why such new and

material evidence was not submitted to the ALJ." 239 F.3d at 594.

The District Court held that Fisher failed to offer evidence showing that the $\ensuremath{\mathsf{L}}$

additional medical evidence is material to his disability case or that there was good cause

why the evidence was not submitted to the ALJ. Due to Fisher's failure to raise this issue

at the District Court level, he has waived consideration of "good cause" before this court.

III.

The other argument Fisher raises on appeal is that critical findings by the \mathtt{ALJ} are

not supported by substantial evidence. Fisher hones in on the ALJ's finding that Fisher's

testimony on the side-effects of his use of prescription medications and the extent of his

depression was not entirely credible. In framing the questions for the vocational expert,

the ALJ did take into account Fisher's subjective complaints about side effects of

medication and his depression because the ALJ asked the vocational expert a hypothetical

question assuming that the individual experienced some sleepiness and drowsiness

associated with the use of medication and also experienced moderate depression with

occasional moderate episodes of anxiety, as Fisher claimed he experienced. It was from

the vocational expert's response that the ALJ concluded that Fisher retained the ability to

perform a significant number of specific, unskilled, low-stress sedentary and light jobs

nationwide. The ALJ based this determination on the objective medical evidence found

in the record that Fisher was limited to low-stress work. Accordingly, we cannot hold

that the District Court erred in holding the ${\tt ALJ's}$ decision was supported by substantial evidence.

IV.

For the reasons set forth, we will affirm the decision of the $\operatorname{District}$ Court.

TO THE CLERK:

Please file the foregoing opinion.

/s/ Dolores K. Sloviter Circuit Judge