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8-14-1996

## Livingstone v. North Belle Vernon

Precedential or Non-Precedential:

Docket 95-3252

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## UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT

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NO. 95-3252

FRANCES E. LIVINGSTONE and JOSEPH A. LIVINGSTONE, her husband,

Appellants

v.

NORTH BELLE VERNON BOROUGH; FAYETTE CITY BOROUGH; WASHINGTON TOWNSHIP; FRANK E. MONACK, JR., individually and in his capacity as officer of WASHINGTON TOWNSHIP; OFFICER RAYMOND MOODY, individually and in his capacity as officer for FAYETTE CITY BOROUGH; OFFICER DARHL SNYDER, individually and in his capacity as an officer for NORTH BELLE VERNON BOROUGH

On Appeal from the United States District Court for the Western District of Pennsylvania

D.C. No. 91-00059

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Argued January 25, 1996

Before: COWEN and SAROKIN, Circuit Judges and POLLAK, District Judge

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ORDER AMENDING SLIP OPINION

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It is hereby ordered that the slip opinion in the above-entitled appeal filed July 31, 1996 be amended in accordance with the following.

On page 9, the second full paragraph should read:

With this background history as predicate, the court in Livingstone I then proceeded to review the elements of proof for a showing of voluntariness, finding that the

parties seeking to enforce the release-dismissal agreement bore the burden of showing that the Livingstones' assent was "voluntary, deliberate and informed." 12 F.3d at 1211. We concluded that the defendants had not met this burden with the certainty called for on summary judgment, given that Mrs. Livingstone was confused as to the terms of the release-dismissal arrangement, that the claimed release-dismissal agreement was never written down, and that the asserted agreement — assuming there was a meeting of the minds — was made, if at all, during a brief and ambiguous oral colloquy. See id. at 1211-14. Accordingly, we reversed the grant of summary judgment and directed that the case be remanded for further proceedings.

## On page 16, the first paragraph should read:

The Livingstones also question whether North Belle Vernon Borough and Fayette City Borough -- which we will refer to, for brevity, as "the two boroughs" -- had the same status under the release-dismissal agreement as did Washington Township. In the voluntariness proceeding in the district court, counsel for the Livingstones had requested that a specific question on the verdict form address the status of the two boroughs under the agreement. The district court declined to include such a question on the form, finding that Ceraso's statements in the colloquy before Judge Cicchetti included all three municipalities, and that all three therefore had the same status for purposes of the voluntariness question. response to the objections of the Livingstones' counsel to this ruling, the district court permitted him to argue to the jury that the ambiguous nature of the agreement between the Livingstones and the two boroughs rendered the release-dismissal agreement involuntary as a whole. App. at 804-06.

The last paragraph on page 45 continuing on to page 46 should read:

However, we anticipate that the Pennsylvania Supreme Court would be very attentive to how the voluntariness of a release-dismissal agreement is established. Such judicial attentiveness would be called for both because of the danger that such agreements will be concluded in improper circumstances, and because Pennsylvania has a policy of declining to enforce contracts concluded under duress or threat of prosecution. See, e.g., Germantown Mfg. Co. v. Rawlinson, 491 A.2d 138, 143 (Pa. Super. 1985) (applying a rule that threats of criminal prosecution constitute duress rendering a contract voidable, and stating: "It is an affront to our judicial sensibilities that one person's ability to seek another's prosecution can be bartered and sold the same as

commodities in the market place. It is even more repugnant when the foul stench of oppression pervades the transaction."). For reasons we have already discussed, the voluntariness of oral release-dismissal agreements is especially likely to be problematic, and -- precisely because such agreements are not evidenced by a writing -- determinations of the voluntariness of such agreements are particularly likely to be unreliable. See supra at 31-36. Accordingly, we predict that the Pennsylvania Supreme Court, when faced with the question, will subject the voluntariness of oral release-dismissal agreements to a heightened standard of proof, and we therefore conclude that the voluntariness of the release-dismissal agreement now before us must be demonstrated by clear and convincing evidence.

BY THE COURT:

/s/ Louis H. Pollak

District Judge

Dated: August 14, 1996